

# BMC Health Services Research

## Improving Mental Health Literacy Among Young People aged 11-15 years in Java, Indonesia: Co-development and Feasibility Testing of a Culturally-appropriate, User-centred Resource (IMPETUs) – A study protocol --Manuscript Draft--

<b>Manuscript Number:</b>	BHSR-D-19-00958R1	
<b>Full Title:</b>	Improving Mental Health Literacy Among Young People aged 11-15 years in Java, Indonesia: Co-development and Feasibility Testing of a Culturally-appropriate, User-centred Resource (IMPETUs) – A study protocol	
<b>Article Type:</b>	Study protocol	
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<b>Abstract:</b>	<p><b>Background:</b> Depression and anxiety are the leading cause of disease burden in low-to-middle income countries. The World Health Organisation has engaged in a programme of scaling-up mental health services, but significant challenges remain. Improving mental health literacy in children and young people, a core part of recent, global health strategies has the potential to address some of these challenges. The study aims to co-develop and feasibility test, a culturally-appropriate toolkit to promote depression and anxiety focused mental health literacy and self-management skills in Indonesia, for children aged 11-15 years.</p> <p><b>Methods:</b> A mixed methods study comprising four phases. Through a systematic review of existing evidence, phase 1 will review approaches to improve mental health literacy and self-management in South East Asia and critically review current evidence regarding intervention effect. Phase 2 will explore stakeholders' views on depression, anxiety and mental health more broadly and identify priorities for the intervention through the use of semi-structured interviews and/or focus groups with policy makers, clinicians, teachers, adolescent service users, carers and young people aged 11-15. Phase 3 will comprise iterative workshops with local stakeholders to present our findings and co-produce a testable, culturally appropriate toolkit to promote mental health literacy and depression/anxiety focused self-management in 11-15 year olds in Java, Indonesia. Phase 4 comprises feasibility evaluation of our developed intervention via nine in-depth case studies (Jakarta, Bogor and Magelang). We will examine the impact, acceptability and feasibility of our prototype intervention and produce evidence-based guidelines for wider implementation.</p> <p><b>Discussion:</b> Tools to support mental health literacy and self-management are a low cost way in which mental health services in LMICs can attempt to address the burden of anxiety and depression amongst children and young people. However, this is an underexplored area in Indonesia. Working closely with local stakeholders, this study will design and undertake feasibility evaluation of co-produced mental health literacy and anxiety and depression focussed interactive self-management tools.</p>	
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<b>Response to Reviewers:</b>	<p>1. Overlap Abstract We notice that this Abstract has been published/posted online:   <a href="https://gtr.ukri.org/projects?ref=MR%2FR022151%2F1">https://gtr.ukri.org/projects?ref=MR%2FR022151%2F1</a>   Please clearly state and reference this in your manuscript (at the bottom of the Abstract)   We have clearly stated at the bottom of the abstract that the funders have published the abstract online and included a reference for this as requested.</p> <p>2. Line 326 please re-phrase this line as its meaning is currently unclear.   There was a missing word (consent). This has been entered into the sentence to make it clear.   Participants must be able to give informed consent to Indonesian researchers. In the case of children/young people, assent of the young person and consent of their parent/guardian will also be required.</p> <p>3. Appendix Please change any Appendix files to instead be called "Additional files". please also change the in-text citations to match this change. Please upload additional files as separate files and not as part of the main manuscript file.   Appendices have been changed to additional files and in-text citations have been changed to match this change. Additional files have been uploaded as separate files.</p> <p>4. Consent to participate In your "Ethical Approval and Consent to Participate" section of your Declarations, please confirm whether informed consent, written or verbal, will be obtained from all participants and clearly state it in this section. If verbal, please state the reason and whether the ethics committee approved this procedure. If the need for consent has been waived by an IRB or is deemed unnecessary according to national regulations, please clearly state this, including the name of the IRB or a reference to the relevant legislation.   We have confirmed that for children and young people verbal assent of the young person and written consent of their parent/guardian will be required. All other participants will give informed consent in written format. Ethical approval for the study and all documented procedures was granted by University of Manchester Research Ethics Committee (Ref: 2018-4949-7908) and The Ministry of Health Indonesia. This is stated in the ethical approval and consent to participate section of our declarations as requested.</p>

5. IMPETUS

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6. SPIRIT

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7. Cite all figures, tables and additional files

Please ensure that all figures/tables and supplementary files are cited within the text. Any items which are not cited may be deleted by our production department upon publication.

We can confirm that additional file 1 is now cited within the text (page 13, lines 326-327).

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## 47     **Abstract**

48     Background: Depression and anxiety are the leading cause of disease burden in low-  
49     to-middle income countries. The World Health Organisation has engaged in a  
50     programme of scaling-up mental health services, but significant challenges remain.  
51     Improving mental health literacy in children and young people, a core part of recent,  
52     global health strategies has the potential to address some of these challenges. The  
53     study aims to co-develop and feasibility test, a culturally-appropriate toolkit to  
54     promote depression and anxiety focused mental health literacy and self-management  
55     skills in Indonesia, for children aged 11-15 years.

56     Methods: A mixed methods study comprising four phases. Through a systematic  
57     review of existing evidence, phase 1 will review approaches to improve mental health  
58     literacy and self-management in South East Asia and critically review current  
59     evidence regarding intervention effect. Phase 2 will explore stakeholders' views on  
60     depression, anxiety and mental health more broadly and identify priorities for the  
61     intervention through the use of semi-structured interviews and/or focus groups with  
62     policy makers, clinicians, teachers, adolescent service users, carers and young  
63     people aged 11-15. Phase 3 will comprise iterative workshops with local  
64     stakeholders to present our findings and co-produce a testable, culturally appropriate  
65     toolkit to promote mental health literacy and depression/anxiety focused self-  
66     management in 11-15 year olds in Java, Indonesia. Phase 4 comprises feasibility  
67     evaluation of our developed intervention via nine in-depth case studies (Jakarta,  
68     Bogor and Magelang). We will examine the impact, acceptability and feasibility of our  
69     prototype intervention and produce evidence-based guidelines for wider  
70     implementation.

71     Discussion: Tools to support mental health literacy and self-management are a low  
72     cost way in which mental health services in LMICs can attempt to address the

burden of anxiety and depression amongst children and young people. However, this is an underexplored area in Indonesia. Working closely with local stakeholders, this study will design and undertake feasibility evaluation of co-produced mental health literacy and anxiety and depression focussed interactive self-management tools.

This abstract has also been published on the funders website (1).

**Key words:** Mental health literacy, Indonesia, mental health, Patient and Public Involvement, study protocol

## **Background**

Mental health disorders account for 13% of the global burden of disease, and affect 10–20% of children and young people (CYP) worldwide. Depression and anxiety are the leading cause of mental health disability, affecting 6% of adolescents globally each year (2). Research shows that the risk of depression rises sharply after puberty (3), and that 50 to 70% of depressed adolescents have a recurrent episode within five years (3). Depression in adolescence is associated with more severe and persistent depression in adulthood, poorer physical health and functioning across the lifespan, and an increased risk of suicide (4). Indonesia meets World Bank criteria for a lower middle-income country (LMIC) and studies estimate that nearly 50% of high school students in Indonesia experience depressive symptoms (5). National student health surveys suggest that, among Indonesian teenagers, suicidal ideation has a 12-month prevalence of 6.8% (6). Recent evidence from Indonesia Family Life Survey (IFLS-5) suggests the highest prevalence of depressive symptoms (32.0%) amongst adolescent females (7).

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96 Goal 3 of the United Nations Sustainable Development Goals calls for reducing  
97 premature mortality by one third by 2030 through the prevention and treatment of  
98 non-communicable diseases and the promotion of mental health and wellbeing (8).  
99 Treatment gaps exceed 75% in most LMICs and this has led to urgent calls to scale  
100 up service provision. The WHO's Mental Health Gap (mhGAP) Action Programme  
101 (9), specifies depression as a priority condition, and advocates task-shifting to  
102 increase service capacity and integrate mental health services into primary and  
103 public healthcare. Evidence has shown that with brief training, non-specialist  
104 workers, affected individuals and their families can detect and support people with  
105 mental health difficulties (10).

106 The de-centralisation of mental healthcare has emerged as a promising strategy in  
107 LMICs, but significant challenges remain. Traditional beliefs that malicious spirit  
108 possession or weak character causes mental illness still persist in South-East Asia,  
109 and discrimination towards people with mental health difficulties delays up to 80% of  
110 people from receiving or providing effective care (11). Systematic reviews (12) and  
111 disease prevention studies (13) suggest that addressing mental health literacy may  
112 be an efficacious strategy for reducing local and global health disparities.

113 Mental health literacy is defined as 'knowledge and beliefs about mental disorders  
114 which aid their recognition, management or prevention.' It includes i) the ability to  
115 recognise disorders and facilitate help-seeking; ii) awareness of the types of  
116 professional help and treatments available, iii) knowledge of effective self-help  
117 strategies; iv) knowledge and skills to give 'first-aid' and support to others; and v)  
118 knowledge of how to promote mental wellbeing and prevent mental health disorders  
119 (14).

120 Inadequate mental health literacy in adolescents, often identified in LMICs including  
121 Indonesia (15) significantly increases the risk of developing moderate-severe



122 depression (13). Encouragingly, adolescents demonstrate a strong preference for  
123 peer and family support over professional help-seeking strategies, suggesting that  
124 universal mental health literacy programs may have benefit for both primary and  
125 secondary disease prevention (16). School-based psycho-educational interventions  
126 have been effective in reducing stigma, promoting young peoples' mental health  
127 knowledge, and increasing mental health literacy in higher and lower income  
128 countries (17).

129 Conceptual frameworks identify health literacy as a critical mediator of health and  
130 functional outcomes (18). Systematic reviews and effectiveness studies  
131 demonstrate that mental health promotion interventions, when implemented  
132 effectively, can have lasting, positive effects on health (19, 20). Longitudinal,  
133 population-based cohorts (N=7857) have demonstrated a relationship between  
134 lower mental health literacy and higher mortality rates in older adults (21) and  
135 identified mental health literacy as a significant predictor of psychological and  
136 pharmacological treatment engagement (22). Reductions in morbidity and mortality  
137 will be mediated by individual, social and system-level variables, especially those  
138 that increase health behaviour and/or health service engagement.

139 Adolescents represent an important social and demographic group in the WHO  
140 South-East Asia Region, accounting for almost one fifth (362.2 million individuals) of  
141 the population (6). Mental health problems in young people are therefore not only a  
142 major public health challenge in this region, but also a significant developmental  
143 issue and thus a promising point for intervention. Rates of under-diagnosis and  
144 under-treatment of depression and anxiety are higher in adolescents than in adult  
145 populations, resulting in poorer clinical and social outcomes for those who do not  
146 receive appropriate intervention (23). This may be particularly so in LIMCs, where

147 limited resources and cultural norms can greatly affect how depression and anxiety  
148 are expressed and perceived.

149 In Indonesia, mental health is a national priority but community-based mental health  
150 programmes remain in their infancy. This early stage of development presents a  
151 unique opportunity to co-develop mental health literacy resources for young people,  
152 ensuring that they support emerging health systems and the needs and preferences  
153 of their end-users. This project will develop a simple, low cost approach to improving  
154 mental health literacy in young people aged 11-15 years by embedding an interactive  
155 group resource into school and community health settings. The study arises directly  
156 from consultation with local people including mental health service users, carers, and  
157 professionals, who identified a lack of culturally appropriate resources to promote the  
158 mental health of children and adolescents in Indonesia. Our primary output (Literacy  
159 toolkit) aligns closely with WHO recommendations to strengthen mental health  
160 education in schools. Our secondary outputs (implementation guidance) will assist  
161 local adoption, and inform evidence-based, context- relevant policy actions for  
162 adolescent mental health promotion in Indonesia and the South-East Asia Region.

## 163 **Methods/Design**

164 Using the MRC framework for complex interventions, our mixed methods study will  
165 comprise 4 separate but related phases. Primary data collection will be undertaken  
166 by Indonesian co-applicants, researchers and patient and public involvement (PPI)  
167 representatives and will take place across three study sites in Indonesia; Jakarta,  
168 Bogor and Megelang. These sites were selected due to their differing levels of  
169 culture, urbanisation and health service development. These three sites also have  
170 child and adolescence mental health clinics, which are a valuable resource for  
171 conducting research activities and implementing the toolkit. Analysis will be  
172 undertaken collaboratively within the wider research team. The manuscript has been

173 prepared using the Standard Protocol Items: Recommendations for Interventional  
174 Trials guidelines (SPIRIT). Data management procedures are available from the  
175 authors on request.

176

177 *Primary aim*

178

179 The study aims to co-develop and feasibility test, a culturally-appropriate toolkit to  
180 promote mental health literacy and depression/anxiety focused self-management  
181 skills in young people, aged 11-15 years, in Java, Indonesia.

182

183 *Research objectives*

184

185 1. Systematically review the existing evidence to:

186 a. Provide a descriptive overview of interventions used to address  
187 mental health literacy and/or depression/anxiety self-management in  
188 children and young people (CYP) in South East Asia.

189 b. Examine the effect of these interventions on mental health literacy  
190 levels and depression/anxiety self-management skills, and explore  
191 where possible, potential associations between intervention delivery  
192 and effect.

193 c. Examine possible factors influencing the uptake and acceptability of  
194 these interventions, including barriers/enablers to their  
195 implementation.

196

197 2. Explore current understanding and perceptions of depression, anxiety and  
198 mental health generally amongst CYP in Java, Indonesia and ascertain  
199 stakeholder priorities for intervention.

200

- 201 3. Synthesise our learning from phase 1 and 2 to co-produce, with CYP, parents  
 202 and professionals, an evidence-based, culturally-appropriate toolkit to  
 203 promote mental health literacy and depression/anxiety focused mental self-  
 204 management skills in young people aged 11-15 years in Indonesia.  
 205
- 206 4. Train intervention facilitators and deliver our intervention in nine study sites,  
 207 purposively selected to represent different health systems and  
 208 implementation contexts.  
 209
- 210 5. Evaluate the feasibility and acceptability of our intervention from the  
 211 perspective of CYP, their parents and families, and health and education  
 212 professionals.  
 213
- 214 6. Refine our intervention, and develop best-practice guidance to optimise  
 215 intervention delivery and engagement.  
 216
- 217 7. Formulate evidence-based recommendations for future research and  
 218 practice, and collaboratively develop a subsequent grant proposal.  
 219

#### 220 Phase 1: Systematic review (months 1-9)

#### 222 Aim

224 We will undertake a rapid evidence synthesis to i) identify the range of approaches  
 225 that have been used to address mental health literacy and/or depression/anxiety self-  
 226 management in children and adolescents in South East Asia, ii) determine their effect  
 227 and iii) identify potential factors and delivery characteristics influencing their effect,  
 228 acceptability and implementation.

229

230 Details of the review can be found on PROSPERO

231 [[https://www.crd.york.ac.uk/prospERO/display\\_record.php?RecordID=108883](https://www.crd.york.ac.uk/prospERO/display_record.php?RecordID=108883) –

232 PROSPERO 2018 CRD42018108883].

233

234 Method

235

236 Our mixed-methods review will include published quantitative and qualitative

237 research studies, and unpublished grey literature (e.g. relevant work undertaken by

238 NGOs).

239

240 Search strategy and data sources

241

242 PsycINFO, MEDLINE, Embase, Cochrane Central Register of Controlled Trials

243 (CENTRAL), Scopus, Cumulative Index to Nursing and Allied Health Literature Plus

244 (CINAHL Plus), Social Sciences full texts, ASSIA, ERIC, SCI and SSCI will be

245 systematically searched for relevant publications. Reference checking, targeted

246 author searches and forward-citation tracking will also be conducted. Pluye et al's

247 scoring system, suitable for qualitative, quantitative and mixed methods research, will

248 be used to assess the quality of included studies (24).

249

250 Eligibility criteria

251

252 We will include all publications published in English and/or local languages e.g.

253 Bahasa, undertaking direct translation where necessary. We will include psycho-

254 educational interventions delivered in any health/community setting, with a primary

255 focus on mental health literacy or depression/anxiety self- management. Eligible

256 populations will include children and young people under 18 years (or where the

257 mean age is <18) with or without pre-existing mental health conditions, who live in  
258 south-east Asia. Primary outcomes will comprise subjective or objective measures of  
259 mental health literacy, self-management skills or knowledge. Secondary outcomes  
260 will include health beliefs and attitudes, self-esteem, mental health symptoms and  
261 quality-of-life.

262

263 Eligibility assessment

264

265 Results from database searching will be uploaded to Endnote before exporting to  
266 data management software Covidence ([www.covidence.org](http://www.covidence.org)). Duplicates will be  
267 removed before the review process starts. The first stage of screening will involve  
268 screening by two independent reviewers at the level of title and abstract.

269 Inclusion/exclusion conflicts will be resolved by a third reviewer. Next, full texts will  
270 be reviewed by two reviewers, with conflicts resolved by a third reviewer.

271

272 Grey literature

273

274 A detailed grey literature search protocol (available from the author) will be  
275 developed based on local expertise of study partners in the South-East Asian area.

276 Searches will be undertaken across grey literature databases (e.g Open grey, WHO  
277 Iris database) and internet search engines (Google).

278

279 Data extraction

280

281 Data extraction templates will be populated with details of the study context (e.g.  
282 country), participant sample, intervention, design/content and intervention outcomes.  
283 Two reviewers will independently extract the first five studies. If extractions

284 sufficiently match, the remaining studies will be extracted by one reviewer. Any  
285 discrepancies will be resolved by consensus.  
286  
287 Data synthesis  
288  
289 If data allows, we will conduct meta-analyses using random-effects modelling to  
290 provide measures of pooled effects and a meta-regression of potential effect  
291 moderators to examine associations between intervention components and  
292 outcomes. Where data is insufficient or unsuitable for meta-analysis, we will conduct  
293 a narrative synthesis.  
294  
295 Our findings will be distilled and tabulated into a thematic framework cross-  
296 referencing intervention content and delivery characteristics against intervention  
297 reach, acceptability and outcome. Review findings will be integrated with primary  
298 research data collected in Phase 2 to inform the development an evidence based,  
299 mental health toolkit to improve mental health literacy and depression/anxiety self-  
300 management in children and young people in Indonesia in phase 3. We will work with  
301 our project advisory panel to review this evidence and to select additional patient-  
302 prioritised outcomes for our evaluation.

303

#### 304 Phase 2: Stakeholder interviews/focus groups (Months 4-10)

305

306 Aim

307

308 Phase 2 aims to explore through primary research understanding and perceptions of  
309 mental health and depression and anxiety in particular amongst children and young  
310 people in Java, Indonesia. It will also explore key stakeholder (CYP, teachers, health

311 professionals and national level stakeholders relevant to policy and practice  
312 development) priorities for intervention.  
313  
314 Methods  
315  
316 Sampling and recruitment  
317  
318 Participants must belong to one of the following groups:

- 319 • Child or young person aged 11-15 with or without depression and anxiety
- 320 • Parent of a child age 11-15 with depression and/or anxiety
- 321 • Professionals involved in the care of young people age 11-15 such as
- 322 clinicians, teachers
- 323 • Key informant whose role at a national or local level is likely to influence the
- 324 education/care of children aged 11-15 e.g. government ministers, policy
- 325 makers, service directors, senior management and community leaders.

326 Participants must be able to give informed consent to Indonesian researchers. In the  
327 case of children/young people, assent of the young person and consent of their  
328 parent/guardian will be required (see Additional File 1 for an example participant  
329 information sheet and consent form).

330 We will aim to purposively sample 15-20 children and young people (non-service  
331 users) based on age, gender, and geographical area. An additional 15-20 children  
332 and young people with depression or anxiety (current mental health service-users)  
333 and 15-20 parents/carers of children and young people with depression and/or  
334 anxiety will also be purposively sampled (on age, gender, geographical location and  
335 time since diagnosis), recruited through primary care services and CAMHS.

336



337 We will additionally aim to recruit and interview mental health professionals,  
338 community mental health workers and teachers for their views on intervention design  
339 (n=10-15 in each professional group). We will supplement these data with key  
340 informant interviews (n=8-10), identified via study team contacts and purposive  
341 sampling, to illuminate and explore broader influences on intervention  
342 implementation. Key informant interviews will include, at national level, government  
343 ministers, policy makers, and leaders of third sector organisations, and at a local  
344 level, service directors, senior management and community leaders. Interview  
345 schedules will be developed drawing on findings from Phase 1, Jorm et al's definition  
346 of mental health literacy (14) and consultation with our PPI advisory group.

347

348 The study will be promoted through posters and existing community networks and  
349 social media channels identified by local collaborators. Relevant health and  
350 education professionals will distribute details on the study including an invitation  
351 letter and patient information sheet.

352

353 Data collection

354

355 We will use qualitative, semi-structured interviews or focus groups to collect data.  
356 Interviews with children will incorporate a photo elicitation method, to encourage  
357 discussion of a potentially sensitive topic. Photo elicitation methods (e.g. asking  
358 participants to take photographs visually portraying 'mental health' and then narrating  
359 the meaning of photos in subsequent qualitative interviews/focus groups) have been  
360 successfully used within mental health research (25) and can facilitate researcher-  
361 participant relationships by increasing participant empowerment and providing  
362 participants with control over the research process (26). Participants who do not  
363 have access to a smartphone will be provided with one to allow them to take

364 photographs prior to interview. Photographs will form a unit of analysis to support  
 365 emerging themes and as a research and dissemination tool in Phase 3.  
 366  
 367 Data collection will explore beliefs, attitudes and experiences of mental health,  
 368 including adolescent depression and anxiety where relevant. The schedule is  
 369 organised around the various components of mental health literacy (14). Participants'  
 370 priorities and preferences for intervention design and format will also be explored.  
 371  
 372 Data analysis  
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 374 All interviews/focus groups will be conducted by researchers/research students from  
 375 Indonesia, who will be provided with training and supervision from the study team.  
 376 Transcripts will be translated into English and independently validated by a bilingual  
 377 individual. A proportion (5%) will be back translated to ensure correct interpretation  
 378 (27). Analysis: A six-stage thematic analysis (28) will be conducted, supported by  
 379 NVivo software. Transcripts will be independently coded by Indonesia researchers,  
 380 with data interpretations discussed and verified among the wider study team.  
 381  
 382 Phase 3: Co-production workshops and prototype resource development (Months  
 383 11-19)  
 384  
 385 Aim  
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 387 Phase 3 will co-produce, with key stakeholders, an evidence-based, culturally  
 388 appropriate toolkit to promote depression and anxiety focussed mental health literacy  
 389 amongst children and adolescents aged 11-15 years in Java, Indonesia.  
 390  
 391 Methods

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393 Using data from phase 1 and 2, intervention resources will be designed, in Indonesia,  
394 in collaboration with a group of children and adolescents (n=8-10) and adult carers,  
395 designers and health and education professionals (n=8-10). We will use a framework  
396 for experience-based co-design developed by Kings College London which involves  
397 initial design workshops, smaller sustained group work and final review events (29).

398

399 PPI consultation to date has suggested an interactive, group intervention. Group-  
400 delivery is a low-cost delivery model which can derive additional benefits through  
401 peer-to-peer support. Systematic review and meta-analysis (30, 31) suggests that  
402 engaging students in activities such as games, simulations and group work is more  
403 effective than relying solely on didactic methods. We will align the structure of our  
404 intervention with data from phases 1-2 and current evidence (32-34) supporting the  
405 effectiveness of short-term programmes (max 8-9 hours over 3-8 sessions) for  
406 mental health education and mental health first-aid. Frequency, duration and content  
407 of the intervention will be recorded by facilitators and reviewed in Phase 4 facilitator  
408 and service-user interviews to explore whether treatment was delivered as intended.

409

410 We will conduct up to 6 half-day stakeholder consultation events (3 per group),  
411 comprising of presentations, discussion of Phase 1 and 2 findings and mixed small  
412 and large group activities, using age-appropriate creative methods, to identify an  
413 appropriate implementation model and develop culturally-relevant resources.  
414 Components will be derived from Phase 1 learning, with group consensus informed  
415 by RAND Appropriateness Methodologies. (35). A recent systematic review  
416 identifies local consensus processes as an effective method of promoting the uptake  
417 of evidence-based interventions into practice (36).

418

419 Analysis

420

421 Our workshop outputs from will take the form of a logic model, outlining the inputs,  
422 preferred activities, outputs and impacts of the intervention. Designers will work with  
423 the outputs from the consultation, and child and adolescent generated visual data, to  
424 design prototype resources. We will document our intervention according to the  
425 template for intervention description and replication (TIDieR) checklist (37), and  
426 supplement our resources with an evidence-based framework (e.g. implementation  
427 guidance, intervention facilitator training and a half-day train-the- trainers workshop)  
428 to support their longer-term sustainability and facilitation.

429

430 Phase 4: Prototype testing and evaluation case studies (Months 20-30).

431

432 The MRC recommends feasibility testing to ensure new interventions can be  
433 implemented. Utilising outputs from Phases 1-3, Phase 4 of our study will test the  
434 content, format and implementation of our prototype intervention. We will use a  
435 comparative case study approach which is recommended when it is not feasible  
436 and/or too premature to conduct studies of an experimental design. The approach  
437 produces testable knowledge about causal pathways (e.g. how and why our co-  
438 developed intervention works or fails in different contexts) for subsequent exploration  
439 in a future feasibility trial.

440

441 Aim

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443 Phase 4 will evaluate the acceptability and feasibility of a co-developed depression  
444 and anxiety self-management intervention for 11-15 year olds in Java, Indonesia.

445

446 Methods

447

448 Utilising data collected during phases 1-3 of the study, our intervention will be tested  
449 in nine sites in Jakarta, Bogor and Magelang (one CAMHs service, one school and  
450 one community health team in each setting). We will use a collective case study  
451 design (38) in three geographical areas. Our sites have been purposively sampled to  
452 include diversity in terms of geographical area, urban/rural/sub-urban populations,  
453 levels of mental health service provision, and cultural aspects. In line with recent  
454 guidance (39), we will appoint local opinion leaders at each implementation site and  
455 draw on this social influence to engage practitioners and educational facilitators in  
456 our intervention. We will use the MRC process evaluation model (40) to explore the  
457 delivery and reach of our intervention, and understand barriers/enablers to its rollout.

458

459 In-depth mixed-methods implementation case studies will be undertaken at each site  
460 including semi-structured qualitative interviews with key stakeholders (n= 30 users,  
461 carers and professionals; total interview number across all sites = 270). We will  
462 collect quantitative, site-specific data on intervention uptake, reach and impact.

463

464 Quantitative children and young people's outcome measures will be completed at  
465 baseline, post- intervention and 6-month follow-up for feasibility analysis. The  
466 primary outcome for a future definitive trial of our intervention is anticipated to be an  
467 adapted version of the mental health literacy scale [MHLS, (41)]. Additional  
468 measures will include the Reynolds Adolescent Depression Scale [RADS, (42)]  
469 which has been used previously with Indonesian populations, a culturally appropriate  
470 service use questionnaire, the Family adaptability and cohesion scale (FACESII),  
471 which has been validated for use within Indonesia children and adolescents (42) and  
472 the validated Indonesian version of the SF-36 quality of life questionnaire (43). These  
473 will be supplemented by additional measures identified via our phase one review and

1  
2 474 prioritised by our PPI advisory group. We will assess the feasibility of these different  
3 475 outcome measures as part of our case study evaluation.

4 476

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6 477 Analysis

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11 479 As this is a feasibility evaluation, our quantitative analysis will be mostly descriptive.

12  
13 480 We will monitor the number of children and young people, parental and adult

14  
15 481 facilitator consents to research participation and cumulative and monthly recruitment

16  
17 482 and retention rates. We will examine intervention uptake and delivery rates and

18  
19 483 compare the proportion of children and young people who engage in the intervention

20  
21 484 at different sites and via different implementation routes.

22  
23 485

24  
25  
26 486 Descriptive statistics will assess the completeness and variability of outcome

27  
28 487 measures at each data collection point, including potential floor and ceiling effects.

29  
30 488 To inform subsequent research, we will undertake exploratory comparisons of

31  
32 489 intervention outcomes on an intention-to-treat basis, recognising that these analyses

33  
34 490 may be underpowered. We will synthesise our qualitative findings with our

35  
36 491 quantitative data to hypothesise if and how inequalities in intervention reach and

37  
38 492 outcome arise.

39  
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41 493

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43 494 *Patient and Public Involvement*

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45 495

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48 496 We will establish a study advisory panel independent of the project team with support

49  
50 497 from project partners. This panel will be based in Indonesia and will comprise 10-12

51  
52 498 key stakeholders including young people, parents, health and education

53  
54 499 professionals and third sector representatives. Based on our prior experience of

55  
56 500 working with children and young people, 2 advisory sub- panels will be established:

57  
58 501 one for children and young people (n=4), and one for adults (n=6-8). Sub-panels will

meet bi-monthly throughout the project. To facilitate communication between the two sub-panels, a volunteer representative from the children and young people's panel will join adult panel meetings.

We have designed a training/development strategy for all PPI representatives on our programme. This course is cited as good practice by the Mental Health Research Network and included in the NICE shared learning database (44). Training will enable service users, carers and other PPI partners to i) be involved in all stages of the project, ii) co-produce our intervention and implementation strategies, iii) co-produce and co-deliver dissemination materials and iv) co-develop future grants.

### *Project Partners*

KPSI is an NGO based in Jakarta, Indonesia, which provides information and support to people living with mental illness and their families. Into the Light, Indonesia is a youth community charity whose work focuses on evidence and rights based suicide prevention and mental health promotion for children and young people and other high-risk groups in Indonesia. The Pulih Foundation develops and delivers community based recovery services for individuals and families. All three organisations will provide advice and feedback over the course of the project to ensure it is conducted in a culturally sensitive manner, that the study addresses the needs of service users and carers and that the study reflects the experiences of local communities.

Partner organisations including the School of Public Health in the University of Indonesia have strong links with local services, service users and communities which will be used to support data collection and recruitment for the study. They will provide expert advice and feedback throughout the project and ensure the study reflects the

current evidence base and will help maximise public and community impact and cross-cultural comparative work and psycho- educational dissemination in the UK.

532

*Dissemination*

534

A core deliverable from our programme will be an interactive, evidence-based toolkit to enhance mental health literacy in young people in Indonesia. In addition to the publications in peer-reviewed journals, we will work closely with voluntary agencies to disseminate our learning and include evidence-based, age-appropriate psycho- education into existing and future programmes. At the end of the project, we will host a one-day mixed-stakeholder dissemination conference in Java to engage a national audience in our research, provide information on our intervention, and encourage wider rollout of our programme deliverables and will host two exhibitions (UK and Indonesia) of photos included in stage 2 of the study.

544

New knowledge generated by the study will be synthesised and used to underpin the development of a new mental health literacy toolkit (provisionally including a family board game) and accompanying implementation guidance. To maximise impact and reach, we will make all our training and intervention resources freely available to Indonesian and UK health services and third-sector equivalents. To the best of our knowledge, these deliverables are novel and represent new resources of direct relevance to Indonesian health services and equivalents.

552

## **Discussion**

554

Our mixed method study developed collaboratively with Indonesian academics, health professionals and PPI representatives will deliver theoretical, empirical and experiential knowledge to inform and optimise health policy development. We will



558 benchmark young people's mental health literacy in Java, and advance current  
559 understandings of the implementation and cultural acceptability of mental health  
560 literacy interventions in Indonesia. We will draw on effective knowledge mobilisation  
561 to ensure this information is available to policymakers to underpin new public health  
562 strategies, person-centred health policy and care.

563

564 By the end of our 30-month study, we will have delivered a testable, culturally-  
565 acceptable toolkit to enhance depression and anxiety focussed recognition and  
566 management among children and young people in Indonesia. We will have  
567 qualitatively explored barriers and enablers to toolkit implementation and  
568 engagement, and developed evidence- informed best-practice guidelines to optimise  
569 its impact and reach. We will produce a minimum of two subsequent grant  
570 applications which will build on this work, including a protocol for a rigorous  
571 evaluation of the clinical and cost-effectiveness of our developed intervention. Via  
572 our proposed project collaborations, we will have enhanced civic (PPI) engagement  
573 in research and built a strong Indonesian research group, with the knowledge and  
574 experience required to lead this work.

575

576 Our research necessitates the involvement of a range of stakeholders, including  
577 children and young people, and focuses on a potentially sensitive research topic. It is  
578 possible that recruitment may be influenced by local cultures including negative  
579 social representations, prejudice and discrimination toward people with mental  
580 illness. To overcome these recruitment barriers, we will work with our advisory  
581 panels to develop a bespoke engagement strategy to target children and young  
582 people, service users/carers, health and education professionals, policy-makers and  
583 community and third-sector networks. Direct liaison with local communities has  
584 already been initiated through awareness talks in partnership with a local school and  
585 national voluntary organisations. Information about the study in the form of social and

1 586 mainstream media coverage, community posters, and information leaflets in local  
2 587 dialects and languages will be available. All data will be collected by Indonesian  
3  
4 588 researchers in Bahasa Indonesia to ensure the research is sensitive to local cultures  
5  
6 589 and customs. We will draw on existing evidence to facilitate intervention uptake and  
7  
8 590 delivery in practice (45) and, via our collaborators in Indonesia, build meaningful and  
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10 591 enduring research partnerships with local health services, schools and community  
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12 592 groups.  
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15 593

#### 17 594 *Strengths and limitations*

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22 596 The study gains is strengthened by the existing partnership between UK and  
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24 597 Indonesian collaborators, the network of project partners aligned with the study, the  
25  
26 598 PPI central to the design and undertaking of the study, and the in-depth nature of the  
27  
28 599 methods. The feasibility evaluation will only recruit participants from three  
29  
30  
31 600 geographical locations within Java (Jakarta, Bogor and Megelang). Results are  
32  
33 601 therefore unlikely to be generalizable to participants in other areas of Indonesia.  
34  
35  
36 602

37 603 The systematic review is focussed on South East Asia which as a geographical area  
38  
39 604 includes countries such as Singapore which is not considered to be a LMIC and may  
40  
41 605 differ in important contextual ways to other South East Asian countries.  
42  
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#### 46 607 **List of abbreviations**

48 608  
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51 609 CAMHS – Child and adolescent mental health service  
52  
53 610 CYP – Children and young people  
54  
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56 611 FACES - Family adaptability and cohesion scale  
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58 612 KPSI - Komunitas Peduli Skizofrenia Indonesia  
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613 LMIC - Low-Middle Income countries

614 MHLS - Mental health literacy scale

615 NGO – Not governmental organisations

616 NICE – National Institute of Clinical Excellence

617 mhGAP – Mental Health Gap

618 PPI – Patient and public engagement

619 RADS - Reynolds Adolescent Depression Scale

620 UK – United Kingdom

621 SEA – South East Asia

622 SPIRIT - Standard Protocol Items: Recommendations for Interventional Trials

623 guidelines

624 WHO – world health organisation

625

626 **Declarations**

627

628 **Ethics approval and consent to participate**

629

630 Participants must be able to give informed consent to Indonesian researchers. In the

631 case of children/young people, verbal assent of the young person and written

632 consent of their parent/guardian will be required. All other participants will give

633 informed consent in written format. Ethical approval for the study and all documented

634 procedures was granted by University of Manchester Research Ethics Committee

635 (Ref: 2018-4949-7908) and The Ministry of Health Indonesia (Ref:

636 LB:02.01/2/KE.201/2019).

637 **Consent to publish**

638

639 Not applicable.

640

#### 641 **Availability of data and materials**

642

643 Not applicable for protocol paper. All investigators will have access to final datasets.

644 Anonymous data can be deposited in relevant repositories and will be available on

645 request from the authors.

646

#### 647 **Competing interests**

648 Helen Brooks is an Editorial Board Member for BMC Health Services Research.

649 The authors declare that they have no competing interests.

650

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652

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655 The funders and sponsor have no role in study design, data collection and analysis,

656 decision to publish, or preparation of manuscripts.

657

#### 658 **Authors' contributions**

659

660 HB and PB are Principal Investigators on the study and led the preparation of the

661 manuscript with RP. Il is the study lead in Indonesia and KL, IS and LR are co-

662 applicants on the funded project. BO, LS, BP and AK are study partners. All authors

663 contributed to the design of the study protocol and approved this manuscript for

664 publication. The University of Manchester will act as the study sponsor (Tel: 0161

665 275 2206/2674). Principal Investigators and Indonesian Lead Investigator will have

ultimate authority over all study activities. All authors read and approved the final manuscript.

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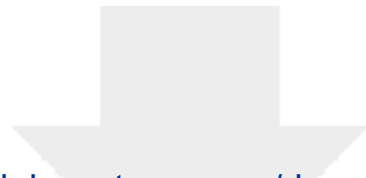
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**Supplementary Material**

Additional File 1 Impetus.docx

